

**COMBINED DECLARATION  
AND POWER OF ATTORNEY****(Original, Design, National Stage of PCT, Divisional, Continuation or C-I-P Application)**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

This declaration is of the following type:

- ☐ original
- ☐ design
- ☒ national stage of PCT.
- ☐ divisional
- ☐ continuation
- ☐ continuation-in-part (C-I-P)

the specification of which: *(complete (a), (b), or (c))*

(a) ☐ is attached hereto.

(b) ☐ was filed on as Application Serial No. and was amended on *(if applicable)*. 30 Aug. 1999

(c) ☒ was described and claimed in PCT International Application No. PCT/CN99/00132 filed on and was amended on *(if applicable)*.

**Acknowledgement of Review of Papers and Duty of Candor**

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of the subject matter claimed in this application in accordance with Title 37, Code of Federal Regulations § 1.56.

☐ In compliance with this duty there is attached an information disclosure statement. 37 CFR 1.98.

**Priority Claim**

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) of any foreign application(s) for patent or inventor's certificate or of any PCT International Application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT International Application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application on which priority is claimed

*(complete (d) or (e))*

(d) ☐ no such applications have been filed.

(e) ☒ such applications have been filed as follows:

PRIOR FOREIGN/PCT APPLICATION(S) FILED WITHIN 12 MONTHS (6 MONTHS FOR DESIGN) PRIOR TO SAID APPLICATION			
COUNTRY	APPLICATION NO.	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 USC 119
CN	98111041.X	31.08.1998	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
ALL FOREIGN APPLICATION(S), IF ANY, FILED MORE THAN 12 MONTHS (6 MONTHS FOR DESIGN) PRIOR TO SAID APPLICATION			
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO

### Claim for Benefit of Prior U.S. Provisional Application(s)

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below:

Provisional Application Number	Filing Date

### Claim for Benefit of Earlier U.S./PCT Application(s) under 35 U.S.C. 120

*(complete this part only if this is a divisional, continuation or C-I-P application)*

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior application(s) in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose information as defined in Title 37, Code of Federal Regulations, § 1.56 which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:

(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)

### Power of Attorney

As a named inventor, I hereby appoint Dana M. Raymond, Reg. No. 18,540; Frederick C. Carver, Reg. No. 17,021; Francis J. Hone, Reg. No. 18,662; Joseph D. Garon, Reg. No. 20,420; Arthur S. Tenser, Reg. No. 18,839; Ronald B. Hildreth, Reg. No. 19,498; Thomas R. Nesbitt, Jr., Reg. No. 22,075; Robert Neuner, Reg. No. 24,316; Richard G. Berkley, Reg. No. 25,465; Richard S. Clark, Reg. No. 26,154; Bradley B. Geist, Reg. No. 27,551; James J. Maune, Reg. No. 26,946; John D. Murnane, Reg. No. 29,836; Henry Tang, Reg. No. 29,705; Robert C. Scheinfeld, Reg. No. 31,300; John A. Fogarty, Jr., Reg. No. 22,348; Louis S. Sorell, Reg. No. 32,439 and Rochelle K. Seide Reg. No. 32,300 of the firm of BAKER & BOTTS, L.L.P., with offices at 30 Rockefeller Plaza, New York, New York 10112, as attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith

#### SEND CORRESPONDENCE TO:

BAKER & BOTTS, L.L.P.  
30 ROCKEFELLER PLAZA, NEW YORK, N.Y. 10112  
CUSTOMER NUMBER: 21003

#### DIRECT TELEPHONE CALLS TO:

BAKER & BOTTS, L.L.P.  
(212) 705-5000

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section

1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

1-01 FULL NAME OF SOLE OR FIRST INVENTOR	LAST NAME <u>Yu</u>	FIRST NAME <u>Long</u>	MIDDLE NAME
RESIDENCE & CITIZENSHIP	CITY <u>Shanghai</u>	STATE or FOREIGN COUNTRY <u>China</u> <i>CIX</i>	COUNTRY OF CITIZENSHIP <u>China</u>
POST OFFICE ADDRESS	POST OFFICE ADDRESS <u>Institute of Genetics, Fudan University</u>	CITY <u>Shanghai</u>	STATE or COUNTRY <u>China</u> ZIP CODE <u>200433</u>
DATE <u>2/18/01</u>	SIGNATURE OF INVENTOR <i>Julong Yu</i>		
2-01 FULL NAME OF SECOND JOINT INVENTOR, IF ANY	LAST NAME <u>Fu</u>	FIRST NAME <u>Qiang</u>	MIDDLE NAME
RESIDENCE & CITIZENSHIP	CITY <u>Shanghai</u>	STATE or FOREIGN COUNTRY <u>China</u> <i>CIX</i>	COUNTRY OF CITIZENSHIP <u>China</u>
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DATE <u>2/18/01</u>	SIGNATURE OF INVENTOR <i>Qiang Fu</i>		
3-01 FULL NAME OF THIRD JOINT INVENTOR, IF ANY	LAST NAME <u>Zhao</u>	FIRST NAME <u>Yong</u>	MIDDLE NAME
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DATE <u>2/18/01</u>	SIGNATURE OF INVENTOR <i>Yong Zhao</i>		
4-01 FULL NAME OF FOURTH JOINT INVENTOR, IF ANY	LAST NAME <u>Zhang</u>	FIRST NAME <u>Honglai</u>	MIDDLE NAME
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POST OFFICE ADDRESS	POST OFFICE ADDRESS <u>Institute of Genetics, Fudan University</u>	CITY <u>Shanghai</u>	STATE or COUNTRY <u>China</u> ZIP CODE <u>200433</u>
DATE <u>2/18/01</u>	SIGNATURE OF INVENTOR <i>Honglai Zhang</i>		
5-01 FULL NAME OF FIFTH JOINT INVENTOR, IF ANY	LAST NAME <u>Bi</u>	FIRST NAME <u>Anding</u>	MIDDLE NAME
RESIDENCE & CITIZENSHIP	CITY <u>Shanghai</u>	STATE or FOREIGN COUNTRY <u>China</u> <i>CIX</i>	COUNTRY OF CITIZENSHIP <u>China</u>
POST OFFICE ADDRESS	POST OFFICE ADDRESS <u>Institute of Genetics, Fudan University</u>	CITY <u>Shanghai</u>	STATE or COUNTRY <u>China</u> ZIP CODE <u>200433</u>
DATE <u>2/18/01</u>	SIGNATURE OF INVENTOR <i>Bi Anding</i>		

Check proper box(es) for any added page(s) forming a part of this declaration

- ☐ Signature for ninth and subsequent joint inventors. Number of pages added \_\_\_\_\_
- ☐ Signature by administrator(trix), executor(trix) or legal representative for deceased or incapacitated inventor. Number of pages added \_\_\_\_\_
- ☐ Signature for inventor who refuses to sign, or cannot be reached, by person authorized under 37 CFR 1.47. Number of pages added \_\_\_\_\_

Applicant or Patentee: \_\_\_\_\_  
Serial or Patent No.: \_\_\_\_\_ Filed or Issued: \_\_\_\_\_  
For: \_\_\_\_\_

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) and 1.27(b)) - INDEPENDENT INVENTOR**

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled \_\_\_\_\_ described in

- ☐ the specification filed herewith
- ☐ Application Serial No. \_\_\_\_\_, filed \_\_\_\_\_
- ☐ Patent No. \_\_\_\_\_, issued \_\_\_\_\_

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below.

- ☐ no such person, concern, or organization
- ☐ persons, concerns or organizations listed below\*

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 CFR 1.27)

NAME Yu , Long

ADDRESS Handan Road 220, Institute of Genetics, Fudan University, Shanghai 200433. PRC

☒ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))  
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Yu , Long

NAME OF INVENTOR

Signature of Inventor

Feb.18, 2001

Date

NAME OF INVENTOR

Signature of Inventor

Date